

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. AUS919970761US2

In Re Application of:

JAMES W. ARENDT, ET AL.

Serial No. UNKNOWN

Filed: JULY 10, 1998

For: **HIGHLY SCALABLE AND  
HIGHLY AVAILABLE CLUSTER  
SYSTEM MANAGEMENT SCHEME**

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Examiner: UNKNOWN

Group No. UNKNOWN

#2  
2/20/02



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

The above-entitled application is a divisional application of U.S. Application Serial No. 09/114,051. Pursuant to 37 C.F.R. § 1.98(d), Applicant respectfully requests that the references of record in the parent case, be considered in this divisional case. These references are listed in the attached Substitute Form 1449.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10**

"Express Mail" mailing label number ET657447168US

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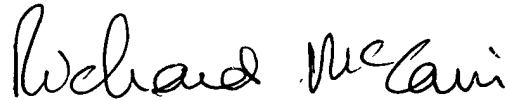
I hereby certify that the documents indicated below are being deposited with the United States Postal Service under 37 CFR 1.10 on the date indicated above and are addressed to Box Patent Applications, Assistant Commissioner of Patents, Washington, D.C. 20231 and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

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No fees are believed to be necessary. Please charge any fees that may be necessary for prosecution of the present application to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

A handwritten signature in cursive script, reading "Richard N. McCain". The signature is written in black ink and is positioned above a horizontal line.

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ATTORNEY FOR APPLICANT(S)

Substitute form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application Number	UNKNOWN
				Filing Date	July 10, 1998
				First Named Inventor	James W. Arendt, et al.
				Group Art Unit	UNKNOWN
				Examiner Name	UNKNOWN
Sheet	1	of	2	Attorney Docket Number	AUS919970761US2

U.S. PATENT DOCUMENTS				
Examiner Initials	IDS Doc #	U.S. Patent Document Number	Name of Patentee or Applicant	Publication Date MM-DD-YYYY
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	AB	6,151,684	Alexander, et al.	11/21/2000
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	IDS Doc #	Office	Foreign Patent Document No.	Name of Patentee or Applicant	Date of Publication	English Translation
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	IDS Doc #	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				English Translation
	AZ	Urban, S.D., et al. "The Implementation and Evaluation of Integrity Maintenance Rules in an Object-Oriented Database", IEEE Confer. On Data Engineering, pp. 565-572, Feb. 1992.				Yes
	BA	Tsalgatidou, A. et al., "Rule-Base Behavioural Modelling of Information Systems", IEEE Confer. On system Sciences, pp. 409-418, Jan. 1993.				Yes
	BB	Karadimce, A. P., et al., "Conditional Term Rewriting as a Formal Basis for Analysis of Active Database Rules", IEEE Workshop on Research Issues in Data Engineering, pp. 156-162, Feb. 1994.				Yes
Examiner Signature					Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.